

Annex B: Gavi 6.0 Theory of Change

1.1 Introduction

Based on the strategy one-pager and narrative for Gavi 6.0 approved by the Board in June, the first instance of the 6.0 Strategy Theory of Change (TOC) has been developed as part of 6.0 strategy operationalisation efforts to describe how Gavi levers, activities, and interventions will lead to achieving Alliance objectives for Gavi 6.0. The TOC (see section 2.1) describes the change process of how Gavi can reach its Gavi 6.0 Strategic Goals. It is organised around the 4 Strategic Goals (SGs) and 12 Strategic Objectives (SOs) in the 6.0 strategy one-pager, and it is not intended to modify the Gavi 6.0 strategic objectives approved by the Board.

The TOC is a tool to support the design and operationalisation of the Gavi 6.0 strategy. The TOC will be used as an input into the design of Gavi programmes and their TOCs; to underpin routine performance monitoring, facilitating the selection of indicators that sit along the casual pathway; and to support the selection of learning and evaluation activities during Gavi 6.0. Updates the TOC may be required as operationalization of Gavi 6.0 strategy progress, therefore it should be considered a living document. As operationalisation activities progress and documentation on Gavi 6.0 shifts is finalised, a written narrative to support the TOC diagram will be developed including referencing of source documents, e.g. Board documents describing sub-strategies.

1.2 Design

In the TOC diagram, activities and outputs are split out into the most relevant SG (best fit), while recognising that many activities contribute to multiple outputs and outcomes. The TOC provides a high-level overview of both the key shifts and continuing activities at global and country-level to enable delivery of the strategy. Approaches to operationalise the Gavi 6.0 strategy have been embedded either as principles, inputs, levers or interventions. Key assumptions (see Section 3.1 for details on assumptions) about Gavi's operating context and risks to achieve its objectives are included within the TOC, along with the Gavi 6.0 one-pager Principles. By organising the TOC by SG, it does not always capture a logical flow of cause and effect between the 12 SOs and 4 SGs. For example, reaching Zero-Dose children (a strategic objective) does not lead to a strengthened health system (SG2), but rather a stronger health system leads to reaching Zero-Dose children. Given this, the design uses the SGs to categorise/orient the reader on the 12 SOs.

2.1 Gavi 6.0 Theory of Change



3.1 TOC Assumptions

Assumptions	
Long-term predictable funding for Gavi programmes	Gavi reaches replenishment targets for Gavi 6.0, and donor pledges are converted into timely cash contributions.
Global political commitment for immunisation and global health security	Donor and implementing countries continue to demonstrate commitments to immunisation and global health security in international forums, global agreements, and through domestic policy.
No substantial degradation in macroeconomic context	There are no new major global or country specific economic crises. There are no major changes in economic output, inflation, interest and foreign exchange rates.
No uncontrolled pandemics or social disruption	Pandemic detection and response mechanisms are sufficient to prevent significant disruptions to the provision, use and monitoring of immunisation services in the event of a new pandemic.
Conflicts do not expand in number or severity	Country and regional conflicts do not escalate. The number of conflicts does not increase. There are no new global conflicts.
Gavi operationalisation activities are delivered and required enhancements to Gavi inputs and levers are completed effectively	Operationalisation and enhancement activities for 6.0 are successfully delivered and lead to Gavi inputs and levers which are effective, aligned and ready to support delivery of the strategy.
Health Alliance Partnership	Alliance partners are actively engaged. There are clear roles and responsibilities across the partnership and all Alliance partners are able to deliver their expected scope of work. Regular monitoring and learning happens across the partnership and information is regularly shared between partnership members to facilitate decision making.