

Annex D: India Accountability Framework

Overview

Gavi intends to build upon the strong foundations from previous strategies with India as they relate to measurement, reporting, and accountability. The accountability framework will be grounded in the final India Strategy Theory of Change and will encompass the more detailed monitoring and learning plan developed for the strategy. The framework speaks to both accountabilities across fund implementing entities and Government of India (GoI).

Programmatic monitoring and accountability

While the final set of metrics, baselines and targets will be agreed upon over the coming months as interventions are finalised and the monitoring and learning plan elaborated upon, the following topics will be included at a minimum:

- **Zero dose:** Reduction in zero-dose children over the strategy period
- **Vaccine introductions:** Vaccine introductions and rate of scale-up across States
- **Vaccine programmes:** Coverage and drop-out
- **Programmatic investments:** A selection of metrics mapped to the finalised theory of change, to include demand, role of CSOs, service delivery and data related investments
- **Sustainability:** Such as the transition of human resources / roles to GoI budgets over the course of the strategy and integration of programmes into State budgets
- **Oversight and accountability:** Monitoring of IAGs

Progress against these metrics will be regularly reviewed as part of the accountability and reporting mechanisms described below and formally reported to the Gavi Secretariat.

GoI have previously raised their support and willingness to facilitate more documentation around lessons learned and particularly best practices that may be of interest to the broader Gavi portfolio. This is something we are seeking to capitalise upon to a greater degree in the next strategy. This may involve further reviews, case studies, efforts to capture learnings for peer-reviewed journals, evaluations and impact assessments.

Financial monitoring and accountability:

As part of the quarterly financial reporting, the GoI will continue to take responsibility to complete the consolidation exercise of a tailored financial reporting template across the funding recipients and submit to Gavi for approval.

Operating in heightened fiduciary risk environments may be required to achieve the objectives of the new strategy. In such cases additional assurance mechanisms should be discussed with Gavi and implemented to ensure appropriate oversight is in place.

In addition to the annual performance reviews (e.g. JA's MSD's), the detailed budget and workplan will be thoroughly reviewed and updated as required but at a minimum on a biennial basis.

To the extent possible, dedicated external audits should be conducted against Gavi funds on an annual basis.

Accountability and Reporting mechanisms:

In terms of accountability and reporting mechanisms, the following will form the basis of our framework approach:

- **Quarterly Immunisation Action Group (IAG) and quarterly programmatic and financial reporting:** We will continue quarterly IAG meetings that begun under the previous strategy and bring together senior leadership within the GoI (e.g. Additional Secretary (RCH), Joint Secretary (RCH) and Joint Commissioner) core partner / grant recipient representatives and other in-country partners working in the immunisation space as necessary. Gavi Secretariat representatives attend when feasible. These meetings will continue to serve as the main forum for monitoring progress, course-correction and implementation trouble-shooting and are an effective means to continue joint ownership and accountability across the India Strategy. They provide a regular opportunity to understand risks and challenges, agree on priorities and identify any changes that may need to be made.

Similarly, we will continue with quarterly programmatic and tailored financial reporting from grant recipients. These standardised reports include updates on financial utilisation, key metrics, progress on activities and description of challenges / barriers experienced (both programmatic and financial). They provide data and inputs for the quarterly IAGs as well as annual reviews.

- **Annual in-depth reviews (multi-stakeholder dialogues):** These are a complement to the quarterly IAGs described above. Comprehensive in nature, they will provide more detailed review and discussions across the India Strategy and help inform any proposed shifts / re-prioritisations and culminate in a formal report submitted to the Gavi Secretariat, in part to inform renewal requests. They also involve review and observations at the delivery level, to the extent feasible.
- **Seeking increased engagement at State-level:** One important shift we are exploring is increasing the engagement of State-level colleagues across design, implementation, monitoring and accountability. The exact modalities of this are still being discussed and will be integrated as a core part of further State-level consultations planned going into early 2022.