Grant Application, Monitoring and Review

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Board Meeting Geneva, Switzerland, 11-12 June 2013



Introduction

Background

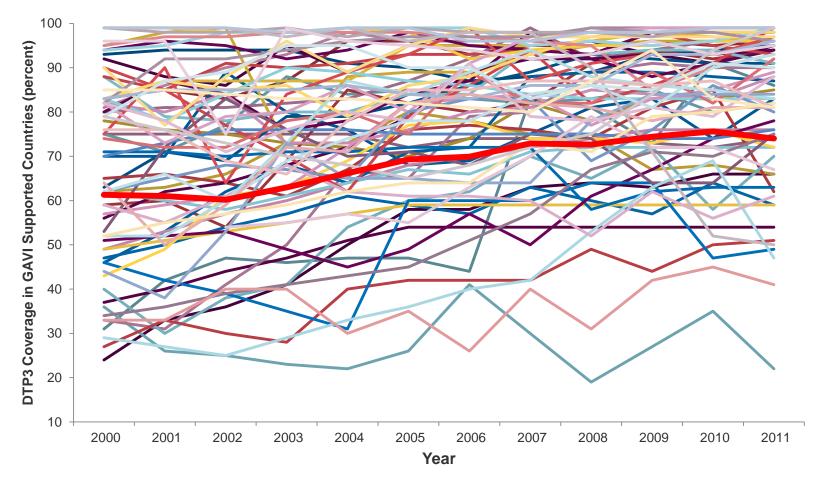
- Part of broader change management process
- Workshops with internal and external stakeholders

Current Challenges

- Inefficient, burdensome and complicated
- Long delays after application
- Disconnect between HSS and NVS
- Lack of accountability
- One-size-fits-all approach for diverse portfolio of grants

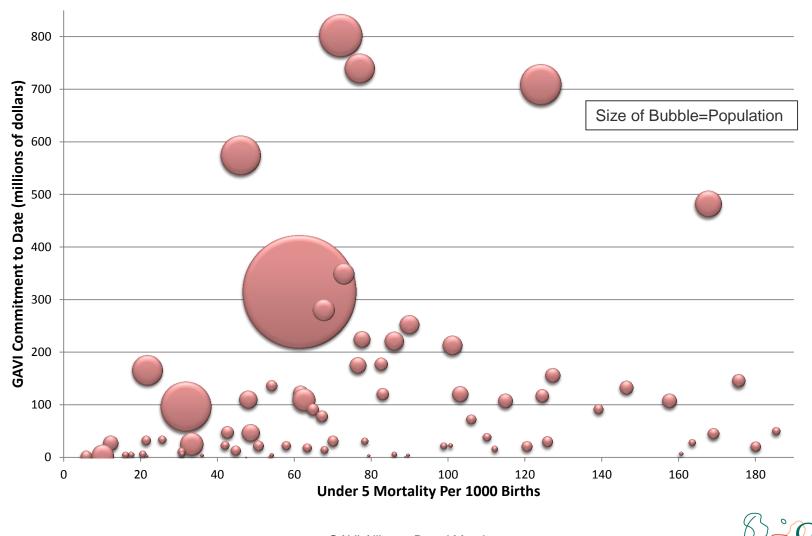


Average DTP3 coverage in GAVI countries ...masks substantial variation between countries

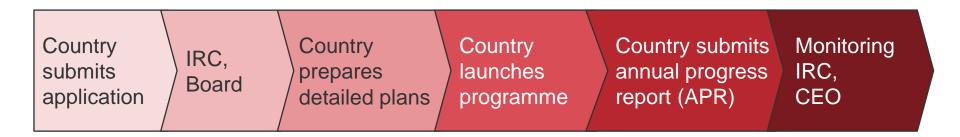




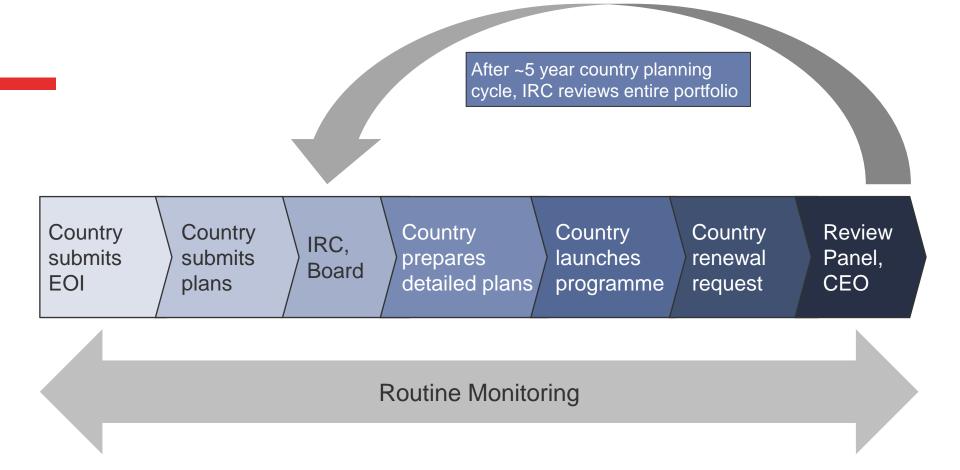
Grants in GAVI's portfolio are highly variable



Overview of existing programme cycle







Key changes

- Stronger country ownership and planning through Expression of Interest and use of country plans
- Strengthened routine grant monitoring: differentiated approach based on country risk/impact
- More use of in-country mechanisms to inform grant monitoring and renewals
- Strengthened accountability through High Level Alliance Review Panel
- Better strategic perspective through full portfolio review with each c.5 year country planning cycle



Recommendations

The PPC recommended that the Board:

(a) **Approve** the principles and key elements of the proposed redesign of GAVI's grant application, monitoring and review systems, as described in section B.3 of Doc. 06, with the first evaluation of the system taking place after one year of implementation; and

(b) **Approve** a High Level Review Panel consisting of senior level staff of the Secretariat, WHO and UNICEF, the IRC Chair and two other IRC members. Senior staff of other Alliance partners may be invited to the Review Panel, as participants or observers, as appropriate; and

(c) **Request** the Secretariat to work with affiliated entities and partners to ensure launch of the new systems starting 1 January 2014, with all existing and new grants shifting to the new system following this date.





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Principles of re-designed systems

- Overall simplification of the process
- Better alignment of health system strengthening and new vaccine support
- Lower transaction costs for countries
- More effective and efficient use of resources
- Greater country ownership & better alignment with country processes
- Improved transparency and better fiduciary oversight



Process to Board decision

GAVI Change Management Process	Action Lab I	Action Lab II	Consultations	PPC	Board
 June 2012 GAMR identified as a priority 	 November 2012 Participants from countries and partners Identified GAMR principles First draft of re-designed process 	 January 2013 Participants from countries and partners Review, refine and validate proposed process 	 Q1 2013 Countries Donors IRCs 	Recommendations and guidance	 Decision

Draft guidelines & templates



Implementation timetable if approved by the Board

	2013				2014						
Activity		Q2		Q3		Q4		Q1		Q2	
Pilot quarterly risk assessment											
Full implementation for all grants											
Countries submit EOI											
New proposals IRC											
Panel Review										4	



Principles of re-designed systems

- Overall simplification of the process
- Better **alignment** of health system strengthening and new vaccine support
- Lower transaction costs for countries
- More effective and effective use of GAVI Alliance
 resources
- Greater country ownership & better alignment with country processes
- Improved transparency and better fiduciary oversight to allow for a more **responsive supply chain**



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Principles of re-designed systems

Country submits EOI

Throughout the year

Triggers discussion & coordination of TA

Endorsement from MoH



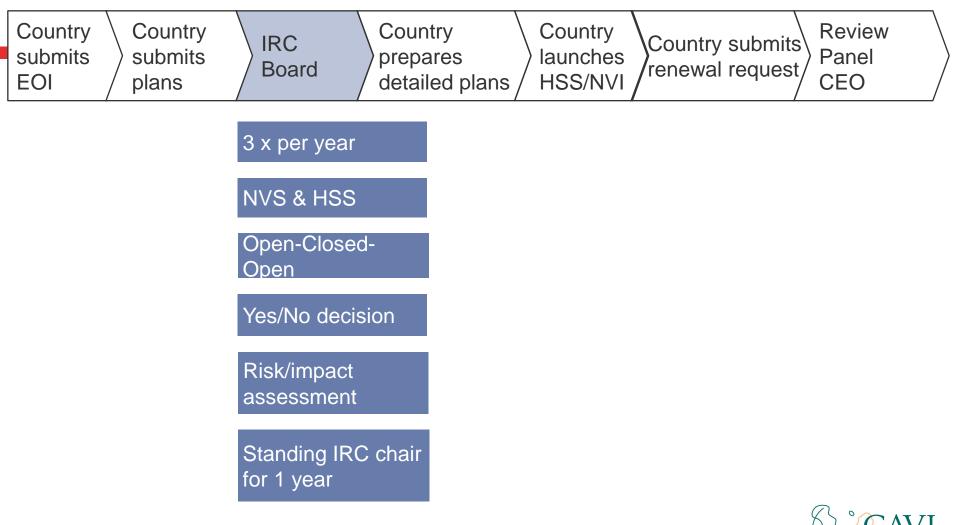
Country submits EOI	Country submits plans	IRC Board	Country prepares detailed plans	Country launches HSS/NVI	Country submits renewal request) Panel	
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Countries specific plans

Iterative process

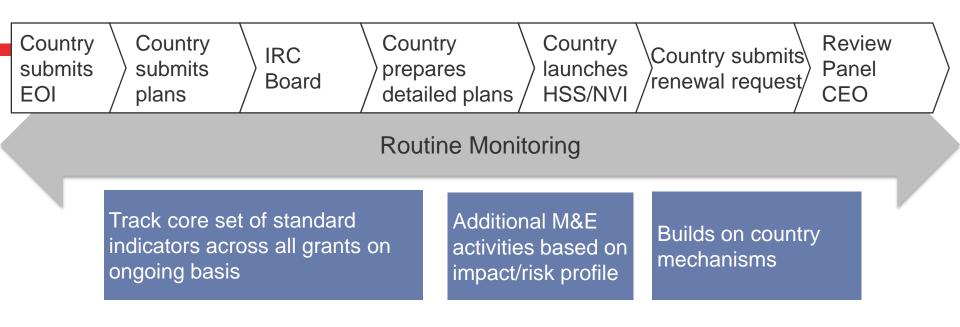
Lighter application package





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Country submits EOI	Country submits plans	IRC Board	Country prepares detailed plans	Country launches HSS/NVI	Country submits	
			Detailed introduction/ implementation plan			
			VIMT agrees on doses			
			Vaccine Introduction Grant is received for NVS			







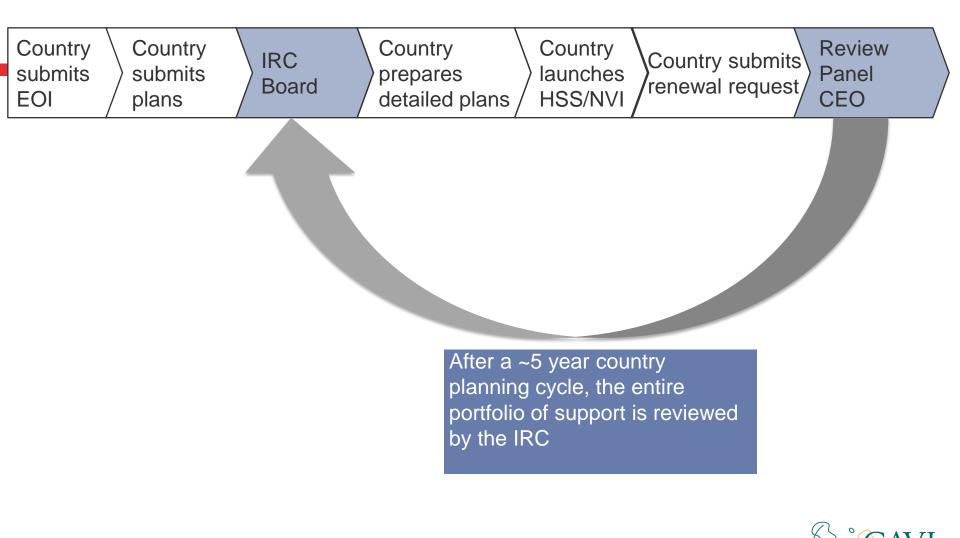
Country submits EOI	Country submits plans	RC Board	Country prepares detailed plans	Country launches HSS/NVI	Country submits renewal request CEO	v \
					Triggers process for annual renewals	
					Aligned with country cycles	
					CRO convenes process to submit recommendation on renewal to Review Panel	
					Possibility for multi-year approvals?	
			GAVI Alliance Board 11- 12 June 20			

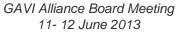
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Reviews country requests & recommendations

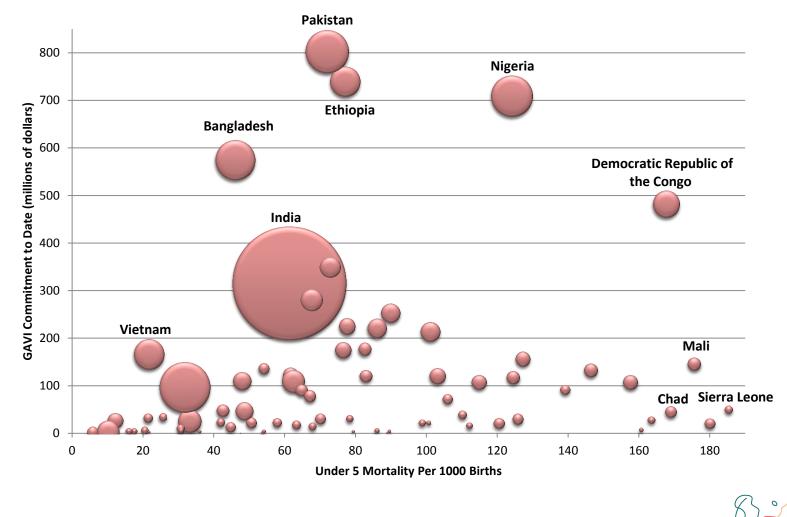
Provides recommendation to CEO







Characteristics of Countries in GAVI's Portfolio are Highly Variable



Risks to implementation

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Increased transaction costs for Alliance

Build upon existing mechanisms at country level

Strengthened routine grant monitoring function

CROs to actively identify other partners where needed

Perceived weakening of checks and balances due to removal of monitoring IRC

- Enhanced use of in-country mechanisms to inform grant monitoring and renewals
- High Level Alliance Review Panel
- Holistic IRC assessment between HSS, NVS and monitoring
- After ~5 year country planning cycle, entire portfolio of support reviewed by IRC

